$LF_{\mathcal{D}}$ – A Logical Framework with External Predicates

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Introduction

Logical Frameworks

- ullet Formal systems based on a typed λ -calculus
- Connected (somewhat unexpectedly) with proof systems via the Curry-Howard Correspondence, interpreting formulas-as-types and proofs-as-programs.
- Serve as bases for various interactive theorem provers
 - Coq, Lego, Twelf
- Harper-Honsell-Plotkin's Edinburgh Logical Framework LF
 - Featuring dependent types types depending on terms
- Coquand's Calculus of Constructions
 - Featuring type polymorphism, dependent types, and higher-order types.

Introduction

- Difficult and cumbersome encodings of side conditions we would like to make that a little easier and a lot more natural.
- Somehow separate derivation and computation maybe have conditions verified externally.
- Allow the interaction and co-operation of various formal provers. Maybe even leave room for some "informal" mechanisms.

Pseudo-syntax of $LF_{\mathcal{P}}$

 Five syntactic categories: signatures (for type and term constants), contexts (for variables), kinds, types, and terms.

The type system for $LF_{\mathcal{P}}$ proves the following five judgements:

 Σ sig Σ is a valid signature $\vdash_{\Sigma} \Gamma$ Γ is a valid context in Σ $\Gamma \vdash_{\Sigma} K$ K is a kind in Γ and Σ $\Gamma \vdash_{\Sigma} \sigma : K$ σ has kind K in Γ and Σ $\Gamma \vdash_{\Sigma} M : \sigma$ M has type σ in Γ and Σ Signatures serve to keep track of constant types and terms.

• An empty signature is a valid signature.

• A valid signature Σ can be extended with a fresh family a, whose kind K is a kind in the empty context and signature Σ .

$$\frac{\sum \operatorname{sig} \ \vdash_{\Sigma} K \ a \notin \operatorname{\mathsf{Dom}}(\Sigma)}{\sum_{X} a: K \operatorname{\mathsf{sig}}}$$

• A valid signature Σ can be extended with a fresh object c, whose type σ has kind Type in the empty context and signature Σ .

$$\frac{\sum \operatorname{sig} \ \vdash_{\Sigma} \sigma: \mathsf{Type} \ c \not\in \mathsf{Dom}(\Sigma)}{\sum_{c} c: \sigma \operatorname{sig}}$$

Contexts keep track of variables.

• An empty context is a valid context in any valid signature Σ .

$$\frac{\sum \mathsf{sig}}{\vdash_{\Sigma} \emptyset}$$

 A valid context Γ in the signature Σ can be extended with a fresh variable x, whose type is of kind Type in Γ and Σ.

$$\frac{\vdash_{\Sigma} \Gamma \quad \Gamma \vdash_{\Sigma} \sigma : \mathsf{Type} \quad x \not\in \mathsf{Dom}(\Gamma)}{\vdash_{\Sigma} \Gamma, x : \sigma}$$

• If Γ is a valid context in the signature Σ , then Type is a kind in Γ and Σ .

$$\frac{\vdash_{\Sigma} \Gamma}{\Gamma \vdash_{\Sigma} \mathsf{Type}}$$

• If K is a kind in the context $\Gamma, x:\sigma$ and signature Σ , then the dependent product $\Pi x:\sigma.K$ is a kind in Γ and Σ .

$$\frac{\Gamma, x: \sigma \vdash_{\Sigma} K}{\Gamma \vdash_{\Sigma} \Pi x: \sigma. K}$$

 If Γ is a valid context in the signature Σ, then any family a of kind K belonging to Σ also has kind K in Γ and Σ.

$$\frac{\vdash_{\Sigma} \Gamma \quad a: K \in \Sigma}{\Gamma \vdash_{\Sigma} a: K}$$

• If τ has kind Type in the context $\Gamma, x:\sigma$ and signature Σ , then the dependent product $\Pi x:\sigma.\tau$ has kind Type in Γ and Σ .

$$\frac{\Gamma, x: \sigma \vdash_{\Sigma} \tau : \mathsf{Type}}{\Gamma \vdash_{\Sigma} \Pi x: \sigma. \tau : \mathsf{Type}}$$

If σ has kind Πx:τ.Κ in the context Γ and signature Σ, and N has type τ in Γ and Σ, then the application of N to σ has kind K, in which all occurrences of x have been substituted for N. in Γ and Σ.

$$\frac{\Gamma \vdash_{\Sigma} \sigma : \Pi x : \tau.K \quad \Gamma \vdash_{\Sigma} N : \tau}{\sigma N : K[N/x]}$$

 If ρ has kind K in the context Γ and signature Σ, and N has type σ in Γ and Σ, then the type locking ρ with a predicate P on Γ ⊢_Σ N : σ has kind Type in Γ and Σ.

$$\frac{\Gamma \vdash_{\Sigma} \rho : \mathsf{Type} \quad \Gamma \vdash_{\Sigma} N : \sigma}{\Gamma \vdash_{\Sigma} \mathcal{L}_{N,\sigma}^{\mathcal{P}}[\rho] : \mathsf{Type}}$$

 If σ has kind K in the context Γ and signature Σ, and K is definitionally equal to K', which is a kind in Γ and Σ, then σ also has kind K' in Γ and Σ.

$$\frac{\Gamma \vdash_{\Sigma} \sigma : K \quad \Gamma \vdash_{\Sigma} K' \quad K =_{\beta \mathcal{L}} K'}{\Gamma \vdash_{\Sigma} \sigma : K'}$$

• If Γ is a valid context in the signature Σ , then any object c of type σ belonging to Σ also has type σ in Γ and Σ .

$$\frac{\vdash_{\Sigma} \Gamma \quad c : \sigma \in \Sigma}{\Gamma \vdash_{\Sigma} c : \sigma}$$

• If Γ is a valid context in the signature Σ , then any variable x of type σ belonging to Γ also has type σ in Γ and Σ .

$$\frac{\vdash_{\Sigma} \Gamma \quad x : \sigma \in \Gamma}{\Gamma \vdash_{\Sigma} x : \sigma}$$

• If M has type τ in the context $\Gamma, x:\sigma$ and signature Σ , then the abstraction $\lambda x:\sigma.M$ has type $\Pi x:\sigma.\tau$ in Γ and Σ .

$$\frac{\Gamma, x: \sigma \vdash_{\Sigma} M : \tau}{\Gamma \vdash_{\Sigma} \lambda x: \sigma. M : \Pi x: \sigma. \tau}$$

• If M has type $\Pi x : \sigma . \tau$ in the context Γ and signature Σ , and N has type σ in Γ and Σ , then the application of N to M has type τ , in which all occurrences of x have been substituted for N, in Γ and Σ .

$$\frac{\Gamma \vdash_{\Sigma} M : \Pi x : \sigma.\tau \quad \Gamma \vdash_{\Sigma} N : \tau}{M N : \tau[N/x]}$$

• If M has type ρ in the context Γ and signature Σ , and N has type σ in Γ and Σ , then M, locked with the predicate $\mathcal P$ on $\Gamma \vdash_{\Sigma} N : \sigma$ has type ρ , locked with the predicate $\mathcal P$ on $\Gamma \vdash_{\Sigma} N : \sigma$, in Γ and Σ .

$$\frac{\Gamma \vdash_{\Sigma} M : \rho \quad \Gamma \vdash_{\Sigma} N : \sigma}{\Gamma \vdash_{\Sigma} \mathcal{L}_{N,\sigma}^{\mathcal{P}}[M] : \mathcal{L}_{N,\sigma}^{\mathcal{P}}[\rho]}$$

 If M has type ρ, locked with the predicate P on Γ⊢_Σ N:σ in the context Γ and signature Σ, N has type σ in Γ and Σ, and P(Γ⊢_Σ N:σ) holds, then M, unlocked with P on Γ⊢_Σ N:σ has type ρ in Γ and Σ.

$$\frac{\mathcal{P}(\Gamma \vdash_{\Sigma} N : \sigma)}{\Gamma \vdash_{\Sigma} M : \mathcal{L}_{N,\sigma}^{\mathcal{P}}[\rho]} \qquad \Gamma \vdash_{\Sigma} N : \sigma$$

$$\frac{\Gamma \vdash_{\Sigma} \mathcal{U}_{N,\sigma}^{\mathcal{P}}[M] : \rho}{\Gamma \vdash_{\Sigma} \mathcal{U}_{N,\sigma}^{\mathcal{P}}[M] : \rho}$$

• If M has type σ in the context Γ and signature Σ , and σ is definitionally equal to σ' , which has kind Type in Γ and Σ , then M also has type σ' in Γ and Σ .

$$\frac{\Gamma \vdash_{\Sigma} M : \sigma \quad \Gamma \vdash_{\Sigma} \sigma' : \text{Type} \quad \sigma =_{\beta \mathcal{L}} \sigma'}{\Gamma \vdash_{\Sigma} M : \sigma'}$$

Definitional Equality in Er j

In $LF_{\mathcal{P}}$, there are two types of reduction:

• Standard β -reduction on the level of kinds, types, and terms:

$$(\lambda x : \sigma.M) N \rightarrow_{\beta \mathcal{L}} M[N/x].$$

• A new form of reduction, \mathcal{L} -reduction, on the level of terms, where a lock dissolves in the presence of an unlock:

$$\mathcal{U}_{N,\sigma}^{\mathcal{P}}[\mathcal{L}_{N,\sigma}^{\mathcal{P}}[M]] \rightarrow_{\beta\mathcal{L}} M.$$

Notice that predicate validity check is required for the unlock constructor to be applied, and not during reduction. Also, there is no need for \mathcal{L} -reduction at the level of types.

Strong Normalization

- We will rely on the Strong Normalization of LF.
- Let us begin by defining the function ${}^{-\mathcal{UL}}:\mathsf{LF}_{\mathcal{D}}\to\mathsf{LF}$:

1. Type^{$$-UL$$} = Type, $a^{-UL} = a$, $c^{-UL} = c$, $x^{-UL} = x$,

2.
$$(\prod x:\sigma.T)^{-\mathcal{UL}} = \prod x:\sigma^{-\mathcal{UL}}.T^{-\mathcal{UL}}$$

3.
$$(\lambda x:\sigma.T)^{-\mathcal{UL}} = \lambda x:\sigma^{-\mathcal{UL}}.T^{-\mathcal{UL}}$$
,

4.
$$(TM)^{-\dot{\mathcal{U}}\mathcal{L}} = T^{-\mathcal{U}\mathcal{L}}M^{-\mathcal{U}\mathcal{L}}$$
,

5.
$$(\mathcal{L}_{N,\sigma}^{\mathcal{P}}[T])^{-\mathcal{UL}} = (\lambda x_f : \sigma^{-\mathcal{UL}} . T^{-\mathcal{UL}}) N^{-\mathcal{UL}},$$

6.
$$(\mathcal{U}_{N,\sigma}^{\mathcal{P}}[T])^{-\mathcal{UL}} = (\lambda x_f : \sigma^{-\mathcal{UL}} . T^{-\mathcal{UL}}) N^{-\mathcal{UL}},$$

which maps derivable judgements of $LF_{\mathcal{D}}$ into derivable iudgements of LF, stripping away the \mathcal{L} and \mathcal{U} .

• Note the free variable x_f , which preserves N and σ from the lock and unlock operators.

Strong Normalization

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• \mathcal{L} -reductions cannot create new β -redexes in T, but can only "unlock" them, and these unlocked redexes remain in $T^{-\mathcal{UL}}$:

$$\mathcal{U}_{N,\sigma}^{\mathcal{P}}[\mathcal{L}_{N,\sigma}^{\mathcal{P}}[\lambda x:\tau.M]]M' \to_{\mathcal{L}} \lambda x:\tau.MM'$$

• Therefore, at least as many β -reductions can be performed in $T^{-\mathcal{UL}}$ as can be performed in T:

$$\max_{\beta}(T) \leq \max_{\beta}(T^{-\mathcal{UL}}) < \infty.$$

• There is no $LF_{\mathcal{P}}$ term T with an infinite β -reduction sequence.

Theorem (Strong normalization of $LF_{\mathcal{D}}$)

- 1. If $\Gamma \vdash_{\Sigma} K$, then K is $\beta \mathcal{L}$ -strongly normalizing.
- 2. if $\Gamma \vdash_{\Sigma} \sigma : K$, then σ is $\beta \mathcal{L}$ -strongly normalizing.
- 3. if $\Gamma \vdash_{\Sigma} M : \sigma$, then M is $\beta \mathcal{L}$ -strongly normalizing.

Proof for all three cases.

Let us suppose that $\max_{\beta \mathcal{L}}(T) = \infty$. Then, it must be that $\max_{\mathcal{L}}(T) = \infty$. But, we initially only have finitely many \mathcal{L} -redexes, and this can increase only by a finite number at a time (through β -reduction).

Therefore, it must be that $\max_{\beta}(T) = \infty$, which is not possible.

Confluence

First, we prove the following lemma:

Lemma (Local confluence of $LF_{\mathcal{D}}$)

 $\beta \mathcal{L}$ -reduction is locally confluent, i.e.

- If $T \rightarrow_{\beta \mathcal{L}} T'$ and $T \rightarrow_{\beta \mathcal{L}} T''$, then there exists a T''', such that $T' \rightarrow_{\beta C} K'''$ and $T'' \rightarrow_{\beta C} T''$.
- Then, using Newman's lemma (local confluence + strong normalization \rightarrow confluence), we obtain:

Theorem (Confluence of $LF_{\mathcal{P}}$)

 $\beta \mathcal{L}$ -reduction is confluent, i.e.

• If $T \rightarrow_{\beta L} T'$ and $T \rightarrow_{\beta L} T''$, then there exists a T''', such that $T' \rightarrow_{\beta \ell} K'''$ and $T'' \rightarrow_{\beta \ell} T''$.

Subject Reduction

Properties of LFP 00000000

This time, we need additional conditions on the predicates:

Definition (Well-behaved predicates)

A predicate \mathcal{P} is well-behaved if it satisfies the following conditions:

Closure under signature and context weakening and permutation:

- $\Sigma, \Omega \text{ sig}, \Sigma \subseteq \Omega, \mathcal{P}(\Gamma \vdash_{\Sigma} \alpha) \to \mathcal{P}(\Gamma \vdash_{\Omega} \alpha).$
- $\vdash_{\Sigma} \Gamma, \vdash_{\Sigma} \Delta, \Gamma \subset \Delta, \mathcal{P}(\Gamma \vdash_{\Sigma} \alpha) \to \mathcal{P}(\Delta \vdash_{\Sigma} \alpha).$

Closure under substitution:

• $\mathcal{P}(\Gamma, x:\sigma', \Gamma' \vdash_{\Sigma} N : \sigma), \Gamma \vdash_{\Sigma} N' : \sigma' \rightarrow$ $\mathcal{P}(\Gamma, \Gamma'[N'/x] \vdash_{\Sigma} N[N'/x] : \sigma[N'/x]).$

Closure under reduction:

- $\mathcal{P}(\Gamma \vdash_{\Sigma} N : \sigma), N \rightarrow_{\beta \mathcal{L}} N' \rightarrow \mathcal{P}(\Gamma \vdash_{\Sigma} N' : \sigma).$
- $\mathcal{P}(\Gamma \vdash_{\Sigma} \mathcal{N} : \sigma), \sigma \rightarrow_{\beta \Gamma} \sigma' \rightarrow \mathcal{P}(\Gamma \vdash_{\Sigma} \mathcal{N} : \sigma').$

With the well-behavedness conditions imposed on predicates, and several more standard auxiliary lemmas, including:

- subderivation,
- weakening and permutation,
- transitivity,
- unicity of types and kinds,

we can prove subject reduction of $LF_{\mathcal{P}}$:

Theorem (Subject reduction of LF_p)

If predicates are well-behaved, then:

- 1. If $\Gamma \vdash_{\Sigma} K$, and $K \rightarrow_{\beta \mathcal{L}} K'$, then $\Gamma \vdash_{\Sigma} K'$.
- 2. If $\Gamma \vdash_{\Sigma} \sigma : K$, and $\sigma \rightarrow_{\beta \mathcal{L}} \sigma'$, then $\Gamma \vdash_{\Sigma} \sigma' : K$.
- 3. If $\Gamma \vdash_{\Sigma} M : \sigma$, and $M \rightarrow_{\beta \mathcal{L}} M'$, then $\Gamma \vdash_{\Sigma} M' : \sigma$.

Other Properties of $LF_{\mathcal{D}}$

- LF_D is decidable, if the predicates are decidable.
- If a predicate is definable in LF, i.e. if it can be encoded via the inhabitability of a suitable LF dependent type, then it is well-behaved.
- All well-behaved recursively enumerable predicates are LF-definable by Church's thesis. But not that easily. Consider e.g. the well-behaved predicate "M, N are two different closed normal forms", which can be immediately expressed in $LF_{\mathcal{D}}$.

Definition (Fully applied and unlocked occurrences)

An occurrence ξ of a constant or a variable in a term of an LF_P judgement is fully applied and unlocked with respect to its type or kind $\Pi \vec{x}_1 : \vec{\sigma}_1 . \vec{\mathcal{L}}_1 [... \Pi \vec{x}_n : \vec{\sigma}_n . \vec{\mathcal{L}}_n [\alpha] ...]$, where $\vec{\mathcal{L}}_1, ..., \vec{\mathcal{L}}_n$ are vectors of locks, if ξ appears in contexts of the form $\vec{\mathcal{U}}_n[(\dots(\vec{\mathcal{U}}_1[\xi\vec{M}_1])\dots)\vec{M}_n]$, where $\vec{M}_1,\dots,\vec{M}_n,\vec{\mathcal{U}}_1,\dots,\vec{\mathcal{U}}_n$ have the same arities of the corresponding vectors of Π 's and locks.

Definition (Judgements in η -long normal form)

- A term T in a judgement is in η -Inf if T is in normal form and every constant and variable occurrence in T is fully applied and unlocked w.r.t. its classifier in the judgement.
- A judgement is in η -Inf if all terms appearing in it are in η -Inf.

Untyped λ -calculus

The syntax:

$$M, N, \ldots := x \mid M \mid N \mid \lambda x. M.$$

- The strategy:
 - Higher-Order-Abstract-Syntax (HOAS)
 - Delegating α -conversion and capture-avoiding substitution to the metalanguage.
 - Modeling free and bound variables so that the well-behavedness conditions for the predicates are met.
- Signature Σ_λ for the untyped λ-calculus in LF_P:

nat : Type The type of natural numbers : nat Zero is a natural number The successor function S : nat -> nat Modeling free variables free: nat -> term

Application app : term -> term -> term lam : (term -> term) -> term Abstraction

- Natural numbers encoded in the standard way
- Variables of the untyped λ -calculus enumerated: $\{x_i\}_{i\in\mathbb{N}\setminus\{0\}}$
- The encoding function ϵ_{χ} , mapping the terms of the untyped λ -calculus into terms of LF_{\mathcal{D}}:

$$\epsilon_{\mathcal{X}}(x_i) = \left\{ egin{array}{l} ext{xi, if } x_i \in \mathcal{X} \\ ext{(free i), if } x_i
otin \mathcal{X} \end{array}
ight., \ \epsilon_{\mathcal{X}}(MN) = \left(ext{app } \epsilon_{\mathcal{X}}(M) \epsilon_{\mathcal{X}}(N)
ight), \ \epsilon_{\mathcal{X}}(\lambda x_i.M) = \left(ext{lam } \lambda ext{xi:term.} \epsilon_{\mathcal{X} \cup \{ ext{xi}\}}(M)
ight). \end{array}
ight.$$

• Therefore, $\epsilon_{\emptyset}(x_n) = (\text{free n})$, but $\epsilon_{\emptyset}(\lambda x_n.x_n) = (\text{lam } \lambda x_n: \text{term.} \epsilon_{\{x_n\}}(x_n)) = (\text{lam } \lambda x_n: \text{term.} x_n).$

Untyped λ -calculus

- In this way, we ensure that we can abide by the "closure under substitution" condition for the predicates, while still retaining the ability to handle "open" terms explicitly.
- We have the following adequacy theorem:

Theorem (Adequacy of syntax)

Let $\{x_i\}_{i\in\mathbb{N}\setminus\{0\}}$ be an enumeration of the variables in the λ -calculus. Then, the encoding function ϵ_{χ} is a bijection between the λ -calculus terms with bindable variables in X and the terms M derivable in judgements $\Gamma \vdash_{\Sigma} M$: term in η -Inf, where $\Gamma = \{x : \text{term} \mid x \in \mathcal{X}\}$.

• However, here we don't use the main features of $LF_{\mathcal{P}}$ - locked types and external predicates. So, let us try to add to this encoding a call-by-value reduction strategy.

Untyped λ -calculus with call-by-value reduction

• Reduction induces an equivalence relation on the set of terms:

Symmetry:

$$\vdash_{CBV} N = M$$

$$\vdash_{CBV} M = N$$

symm : $\Pi M: term.\Pi N: term.(eq N M) \rightarrow (eq M N)$

Conditional β-reduction:

$$\frac{v \text{ is a value}}{\vdash_{CBV} (\lambda x. M) v = M[v/x]}$$

betav : $\Pi M: (term \rightarrow term) . \Pi N: term.$ $\mathcal{L}_{N.term}^{Val} [eq (app (lam M) N) (M N)]$

• Conditional β -reduction:

```
betav : \Pi M: (term \rightarrow term) . \Pi N: term. \mathcal{L}^{Val}_{N, term} [eq (app (lam M) N) (M N)]
```

The predicate Val(Γ⊢_Σ N : term) holds iff either N is an abstraction or a constant (a term of the shape (free i));

```
Val(\Gamma \vdash_{\Sigma} N : term) \Rightarrow
let norm=NF(N) in
match norm with
| app M' N' => false
| _ => true
end
```

• The predicate Val is well-behaved.

Untyped λ -calculus with call-by-value reduction

Theorem (Adequacy of CBV reduction)

Given an enumeration $\{x_i\}_{i\in\mathbb{N}\setminus\{0\}}$ of the variables in the λ -calculus, there is a bijection between derivations of the judgement $\vdash_{CBV} M = N$ on terms with no bindable variables in the CBV λ -calculus and proof terms h, such that $\vdash_{\Sigma_{CBV}} h : (eq \epsilon_{\emptyset}(M) \epsilon_{\emptyset}(N)) \text{ is in } \eta\text{-Inf.}$

Necessitation in Modal Logics

• Side-conditions on application of inference rules:

From ϕ infer $\Box \phi$, if ϕ is a theorem.

• We can encode this in $LF_{\mathcal{P}}$ with relative ease:

NEC:
$$\Pi\phi$$
:o. Πm :True (ϕ) . $\mathcal{L}^{Closed}_{m,\mathrm{True}(\phi)}[\mathrm{True}(\Box\phi)]$

where o : Type is the type of propositions, and True : o -> Type is the truth judgement.

- The predicate $Closed(\Gamma \vdash_{\Sigma} m : True(\phi))$ holds iff "all of the free variables that occur in m are of type o".
- The predicate inspects the environment and has to be defined on typed judgements.

Several further examples

• Capturing π -calculus. The reduction rule taking into account structural congruences between processes, namely

$$\frac{P \equiv P' \quad P' \longrightarrow Q' \quad Q' \equiv Q}{P \longrightarrow Q}$$

can be easily encoded in $LF_{\mathcal{D}}$ as:

$$\mathcal{L}^{\mathtt{Struct}}_{\langle \mathtt{P},\mathtt{P}',\mathtt{Q}',\mathtt{Q} \rangle}[(\mathtt{red}\ \mathtt{P}\ \mathtt{Q})]$$

where red encodes the reduction relation \longrightarrow , and the external predicate Struct holds iff $P \equiv P'$ and $Q' \equiv Q$.

Capturing Deduction Modulo. The rule:

$$C \qquad A \to B \qquad A \equiv C$$

can be encoded as:

$$\supseteq_{\equiv}$$
: $\sqcap A, B, C:o.\Pi x: True(A \to B).\Pi y: True(C).\mathcal{L}_{\langle A,C \rangle}^{\equiv}[True(B)].$

What did we get with $LF_{\mathcal{P}}$?

- A mechanism allowing the interconnection of different formal (and informal) verification tools.
- Easy encodings of side-conditions on applications of rules.
- Subsumption of a number of well-known formal systems from the literature.
- An elegant separation between derivation and computation.
- Cleaner and more readable proofs.

The end of the presentation

Thank you for your attention!

Any questions?