

Image analysis with subpixel precision - The Coverage model

Coverage segmentation by energy minimization

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2012, Uppsala

Problem formulation

- We observe a 2D digital image I with b spectral bands.
- Let $N = \text{width} \times \text{height}$ be the number of pixels of the image and let the image data be given as matrix $I = [p_{i,k}]_{N \times b}$ such that a **row** contains intensities of **one pixel** in each of the observed bands, and a **column** represents the pixel intensities in **one band**, over the whole image.
- Our goal is to obtain a coverage segmentation of I corresponding to m classes (objects) existing in the image, i.e., each pixel is assigned a vector of length m whose components give the relative area of the pixel covered by each of the m classes.
- A coverage segmentation of the image I is a matrix $A = [\alpha_{i,j}]_{N \times m}$ where $\alpha_{i,j} \in [0, 1]$ is the coverage of the pixel with index i ($i = 1, 2, \dots, N$) by a class (object) S_j . Assuming spatially non-overlapping classes S_j each row of A sums up to one.

Linear unmixing

Models based on linear unmixing of image intensities are common in the field of image processing, due to simplicity and wide applicability.

- We model the image intensities I as a **non-negative linear mixture** (a convex combination) **of pure class representatives** (a.k.a. end-members).¹
- The pure class representatives can be written as a matrix $C = [c_{j,k}]_{m \times b}$, where $c_{j,k}$ is the (expected) image value of a class j in the band k .
- Using the introduced notation, we can, conveniently, express that I is approximately a linear mixture of the end-members as follows

$$I \approx A \cdot C.$$

Note: This notation suggests that the end-members $c_{j,k}$ are position invariant. This is not necessarily the case; we allow spatially varying class representatives $C = C(x)$. However, to not complicate notation, we write C as an $m \times b$ matrix, and not as an $N \times m \times b$ 3D tensor.

¹ Appropriate determination of end-members is a subject of many studies and outside the scope of this presentation.

Data fidelity term

Considering the task of finding a coverage segmentation A , which fulfils $I \approx A \cdot C$ as well as possible, we define the following data fidelity term $D(A)$, for a given image I and a given end-member matrix C

$$D(A) = \|I - AC\|^2,$$

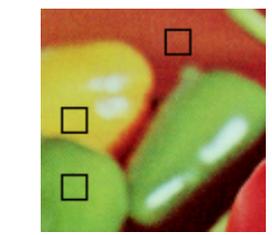
where $\|X\|$ is the Frobenius norm (Euclidean norm) of a matrix X .

Minimization of $D(A)$ (calculus of variations) constrained to $A \in \mathbb{A}_{N \times m}$ provides a linear unmixing segmentation.

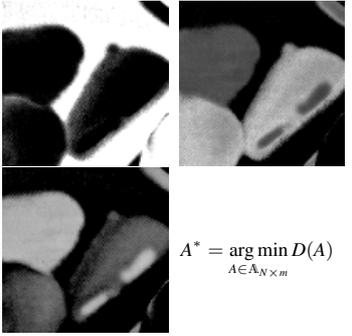
$$A^* = \arg \min_{A \in \mathbb{A}_{N \times m}} D(A)$$



Data fidelity term - an illustrative example

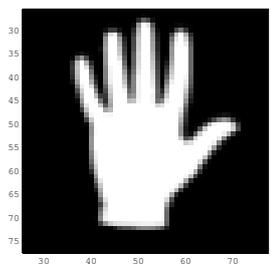


Example colour image with three training regions (defining the end-member matrix C) indicated.



The lack of spatial information makes this type of coverage segmentation **noise sensitive**. Also, the resulting segmentation is generally **too fuzzy** (too many image pixels are classified as mixed).

Properties of coverage representations



- homogeneous connected regions** of “pure” pixels
- separated by thin layers** of “mixed” pixels

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More energy terms

We add two more criteria to our (so far “too noisy” and “too fuzzy”) segmentation model.

- (i) we favour a smooth boundary of each object;
- (ii) we favour objects with majority of pixels classified as pure, whereas mixed pixels appear only as thin boundaries between the objects.

Criterion (i) is implemented by inclusion of the (fuzzy) perimeter of the objects as a term in the energy function to minimize. Criterion (ii) is imposed by minimizing “thickness” of boundaries over the image, and also, to some extent, minimizing overall fuzziness of the image.

These requirements are combined into the following energy function:

$$J(A) = D(A) + \mu P(A) + \nu T(A) + \xi F(A),$$

where D, P, T, F are **data term**, overall **perimeter**, **boundary thickness**, and total **image fuzziness**, and $\mu, \nu, \xi \geq 0$ are weighting parameters.

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Perimeter, thickness, and fuzziness

Perimeter $P(A)$ is the overall (fuzzy) perimeter of the m objects of a coverage segmentation A

$$P(A) = \frac{1}{2} \sum_{j=1}^m P(A_j).$$

Thickness We define border thickness T of a coverage segmentation as

$$T(A) = \frac{1}{2} \sum_{j=1}^m T(A_j),$$

where the thickness of one component $T(A_j)$ is the sum of local thickness computed for all 2×2 tiles of the image:

$$T(A_j) = \sum_{(\alpha_{1..4}) \in \tau_{2 \times 2}(A_j)} \prod_{i=1}^4 4\alpha_i(1 - \alpha_i).$$

Fuzziness The inclusion of an overall fuzziness term allows better control of the fuzziness in the resulting segmentation.

$$F(A) = \sum_{i=1}^N \sum_{j=1}^m 4\alpha_{i,j}(1 - \alpha_{i,j}).$$

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Different terms - an illustrative example

(a) Minimization of **Data** term alone (linear unmixing). (b) Minimization of **Data** and **Perimeter** terms. (c) Minimization of **Data** and **Fuzziness** terms. (d) Minimization of **all** the suggested energy terms.

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Minimization

The sought coverage segmentation A^* is obtained by minimizing the complete energy functional J over the set of valid coverage segmentations:

$$A^* = \arg \min_{A \in \mathcal{A}_{N \times m}} J(A).$$

A convex constrained large scale non-convex optimization problem.

Encouraged by good results obtained when addressing problems of similar structure and dimensionality we decided to use the **Spectral Projected Gradient** (SPG) method.

The SPG method requires **differentiating the energy function** $J(A)$. The partial derivative of $J(A)$ w.r.t. an individual coverage value $\alpha_{i,j}$ is

$$\frac{\partial(J(A))}{\partial \alpha_{i,j}} = \frac{\partial(D(A))}{\partial \alpha_{i,j}} + \mu \frac{\partial(P(A))}{\partial \alpha_{i,j}} + \nu \frac{\partial(T(A))}{\partial \alpha_{i,j}} + \xi \frac{\partial(F(A))}{\partial \alpha_{i,j}}.$$

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Minimization

All the included terms are either pixel-wise (data and fuzziness), or utilize only a 2×2 neighbourhood (perimeter and thickness terms). Therefore only 9 pixel values affect $\frac{\partial(J(A))}{\partial \alpha_{i,j}}$, making differentiation quite “manageable”.

The energy function J is, unfortunately, highly **non-convex**, and minimization of J is far from trivial. Care has to be taken to not end up in a sub-optimal local minimum of the energy function.

To reach as good as possible result, solutions of numerically easier problems are used as starting guesses when addressing more difficult ones. We initiate the process with a unmixing based on the data term alone. This is followed by introduction of the perimeter term and an iterative part where the weights of the two fuzziness regulating terms ν and ξ are **gradually increased**.

The iteration continues until the Fuzziness of the solution is lower than twice the Perimeter. This **stopping criterion** utilizes the fact that a correct coverage representation typically fulfils this relation.

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Method 5: Algorithm

Alg. 1. Coverage segmentation

Parameters: $\mu, \nu_0, \xi_0, \rho \geq 0$.

$A_0 = \lfloor \frac{1}{m} \rfloor_{N \times m}$; $\nu = \nu_0$; $\xi = \xi_0$;
 $A = \arg \min D(A_0)$ by SPG;
repeat
 $A \leftarrow \arg \min J(A; I, C, \mu, \nu, \xi)$ by SPG;
 $f = F(A)/(2P(A))$;
 $\nu \leftarrow \nu(1 + \rho \cdot f)$;
 $\xi \leftarrow \xi(1 + \rho \cdot f)$;
until $f \leq 1$

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
Further improvements

Qualitative evaluation

Segmentation result obtained by: (a) linear discriminant analysis, (b) fuzzy c -means clustering, (c) the proposed method.

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
Further improvements

Quantitative evaluation
- noise sensitivity

Left: (top) Synthetic test objects. (middle) Part of object with 30% noise added. (bottom) Coverage segmentation result for 30% noise. Right: Average absolute error of coverage values of object *border pixels* for different noise levels. Lines show averages for 50 observations and bars indicate max and min errors.

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
Further improvements

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
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Segmentation of hyperspectral data

- Test on a publicly available¹ 220 band **hyperspectral data set** from an Airborne Visible/Infrared Imaging Spectrometer (AVIRIS).
- The same data is used in Villa et al.² allowing direct performance comparison.
- Available ground truth classification is crisp. Approximate coverage values are created by binning 3×3 pixels into a lower resolved image.
- The 220 bands are highly correlated, making the Euclidean distance (in the Data term) unsuitable as a distance measure. We therefore decorrelate the data initially by a **whitening** transformation.
- For each class, **20 non-mixed pixels** from the low resolution image are randomly selected as **training data**. From these pixels the matrix C is computed.

¹ <https://engineering.purdue.edu/~biehl/MultiSpec/>
² A. Villa et al. "Spectral unmixing for the classification of Hyperspectral images at a finer spatial resolution." IEEE J. Selected Topics Signal Proc. 5 (3), 512-533. 2011.

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
Further improvements

Quantitative evaluation of results

(a) One band (30 out of 220) of a low resolution image obtained by averaging of 3×3 blocks in the original image

(b) Ground truth for the high resolution image, with unclassified pixels presented in black

(c) A coverage segmentation (into four classes) of (a)

(d) Crisp segmentation derived from (c) at the same spatial resolution as (b)

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Preliminaries
Energy terms
Minimization
Evaluation
Application example
Further improvements

- The method of Villa et al. (2011) performs sub-pixel classification. (SVM-based coverage segmentation is followed by spatial high resolution assignment by means of simulated annealing optimization.)
- To compare our results, we generate two high resolution distributions of coverage:
 - "Stupid" method: Perform crisp classification and scale up by a factor 3
 - Optimal method: Distribute the coverage to best match the ground truth
 This provides **lower and upper bounds** of accuracy for a possible sub-pixel assignment of the coverage values.

	Accuracy [%]	CPU time [s]
Villa et al., 2011	90.65	58 (88 incl. SA)
Proposed	[92.59, 94.74]	4.5

Further improvements . . . work in progress

- We observe that the perimeter term (which likes fuzzy plateaus) and the two defuzzifying terms to some extent fight each other.
- To reach a desired result, the defuzzifying terms have to be strong enough, but should not be so strong as to give a crisp output.

A difficult balance act which is only partly solved by the designed algorithm (with its slow increase of defuzzifying terms and a smart stopping criterion).

A new Fuzziness term

- Fuzziness should not be penalized when it appears on object boundaries.

Scale the fuzziness term based on local "edginess".

$$\mathcal{F}(A) = \sum_{i=1}^N \sum_{j=1}^m 4\alpha_{i,j}(1 - \alpha_{i,j})(1 - \kappa_{i,j}),$$

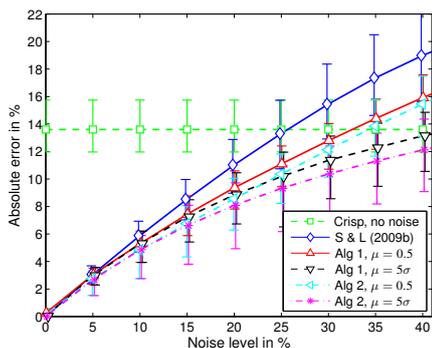
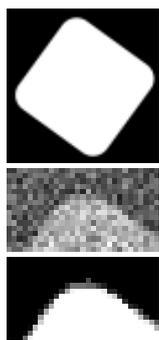
where

$$\kappa_{i,j} = \max_{k \in \mathcal{N}(i)} \alpha_{k,j} - \min_{k \in \mathcal{N}(i)} \alpha_{k,j}$$

and $\mathcal{N}(i)$ is the 3×3 neighbourhood of pixel i .

- The new term is able to replace both previous terms T and F .
- The larger 3×3 neighbourhood makes processing a bit slower.
- Much improved stability w.r.t. parameter changes.
- Allows simplified algorithm and gives **better results**.

Quantitative evaluation - noise sensitivity

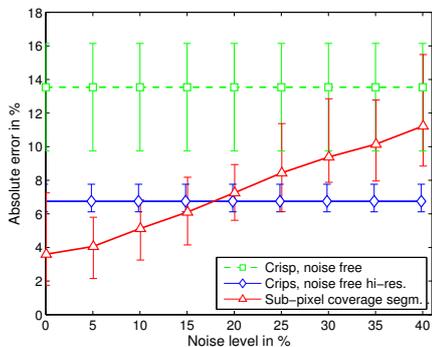
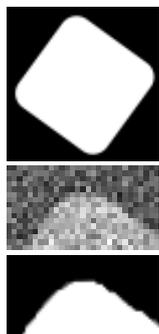


Left: (top) Synthetic test objects. (middle) Part of object with 30% noise added. (bottom) Coverage segmentation result for 30% noise. **Right:** Average absolute error of coverage values of object *border pixels* for different noise levels. Lines show averages for 50 observations and bars indicate max and min errors.

A new Data fidelity term

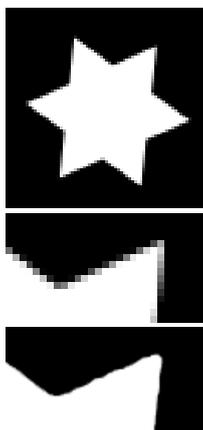
- The only term in the Energy function that relates to the input image is the Data term.
- By matching an $n \times n$ block of pixels in the segmented image A with one pixel in the input image I , **super resolution coverage segmentation** is directly available.

Reconstruction at increased resolution - evaluation of noise sensitivity

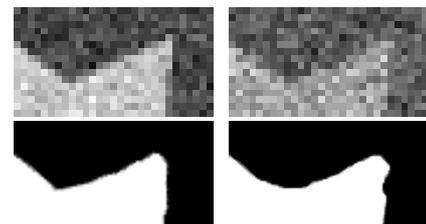


Left: (top) Synthetic test objects. (middle) Part of object with 30% noise added. (bottom) Coverage segmentation result for 30% noise at **twice the original resolution**. **Right:** Average absolute error of coverage values of object *border pixels* for different noise levels at **twice the original resolution**. Lines show averages for 50 observations and bars indicate max and min errors.

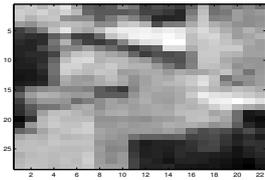
Reconstruction at increased resolution - synthetic image



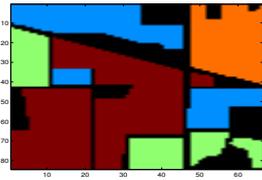
Segmentation at **four times the original resolution**, for a noise free case, 15% of added noise, and 30% of added noise, respectively.



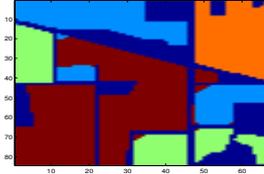
Reconstruction at increased resolution - satellite image



(a) One band (30 out of 220) of a low resolution image obtained by averaging of 3×3 blocks in the original image



(b) Ground truth for the high resolution image, with unclassified pixels presented in black



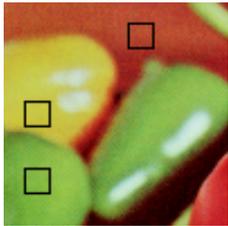
(c) New super resolution segmentation (3 times higher resolution)

Deconvolution

- If the point spread function is larger than the size of a pixel, the linear mixture assumed in the Data term starts to be questionable.
- However, the convolution of the image data with a point spread function can be straightforwardly incorporated into the Data fidelity term.
- Using the introduced notation, this is just one more matrix multiplication (see the function `convmtx2` in Matlab).

$$D(A) = \|I - KAC\|^2,$$

Work in progress...



Original image with three training regions.



Promising first results with deconvolution...